

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Inventor: Frank H. Peters

Group Art Unit: 2874

Serial No.: 10/807,729

Examiner: Hung T. Vy

Filed: March 24, 2004

Confirmation No. 4424

Title: ELECTRICAL ISOLATION OF OPTICAL COMPONENTS ON
PHOTONIC INTEGRATED CIRCUITS (PICs)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant and the undersigned submit form 1449A setting forth documents of which they are aware, which they believe may be material to the examination of this application and in respect to a duty of disclosure in accordance with 37 CFR 1.56. It is respectfully requested that the cited information be expressly considered during the prosecution of this continuing application, and the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

In accordance with the waiver of 37 CFR 1.98, dated 05 August 2003, no copies of the U.S. cited patents and patent applications set forth in the enclosed form 1449A are provided with this transmittal and, further, such copies are not required in any case since the references were previously cited in parent application, Serial No. 10/283,476.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this Statement, and no representation is being made that a search has been conducted or that this Statement encompasses all the possible relevant information.

The Applicant believes that no fee is required for submission of this Statement because it is being submitted before the mailing date of the first Office Action on the merits in accordance with 37 CFR 1.97(b)(3). However, if a fee is required, the undersigned authorizes the Commissioner to charge the necessary fee, or credit any overpayment, to USPTO Deposit Account No. 502022.

Respectfully submitted,
Frank H. Peters

W. Douglas Carothers, Jr.
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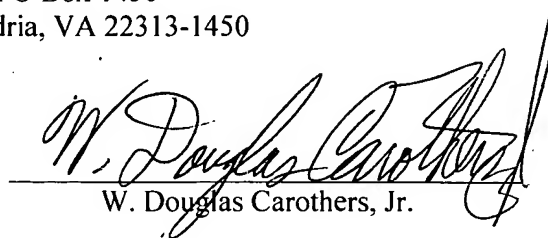
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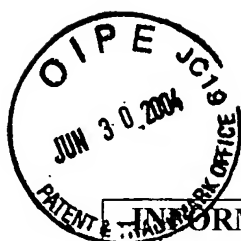
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I hereby certify that this correspondence together with the above mentioned attachments are being deposited on the date below with the United States Postal Service as first class mail in an envelope addressed to:

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Date: June 28, 2004


W. Douglas Carothers, Jr.



INFORMATION DISCLOSURE STATEMENT BY APPLICANT (substitute form 1449A)	Application Number: 10/807,729
	Filing Date: March 24, 2004
	First Named Inventor: Frank H. Peters
	Group Art Unit: 2874
Page 1 of 1	Examiner Name: Hung T. Vy

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document No.	Name of Applicant	Date	Class	Sub- class	Filing Date (if appropriate)
	1.	6,148,017	Borchert et al.	11/14/00	372	50	
	2.	6,236,794	Mallecot et al.	05/22/01	385	132	
	3.	5,764,670	Ouchi	06/1998	372	45	
	4.	6,081,361	Adams et al.	06/2000	359	188	
	5.	5,909,294	Doerr et al.	06/1999	359	114	
	6.	5,642,371	Tohyama et al.	06-1997	372	45	
	7.	6,452,220	Morse et al.	09/2002	257	183	
	8.	6,414,298	Nakajima	07/2002	250	214R	

U.S. PATENT APPLICATION DOCUMENTS

Examiner Initials	Cite No.	Document No.	Name of Applicant	Pub. Date	Class	Sub- Class	Filing Date

* Effective Filing Date

FOREIGN PATENT DOCUMENTS

Examiner Initials	Office	Number	Class	Name of Applicant (1 st inventor)	Date of Publication	English Translation

OTHER ART

Examiner Initials	Citation
EXAMINER:	DATE CONSIDERED: